

09/744751

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#8/A JS
5/3/02

In re Application of: Jeong, et al.

Art Unit: To Be Assigned

Serial No.: To Be Assigned

Examiner: To Be Assigned

Filed: Herewith

Atty. Docket: 0217-0006

For: Lipid Emulsion and Solid Lipid Nanoparticle
as a Gene or Drug Carrier

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination of the above-identified application, Applicant herewith
respectfully requests the following amendments:

IN THE CLAIMS:

A1
11. The emulsion according to [any of] claim[s] 1, [9 or 10,] further
comprising a phospholipid or a non-ionic surfactant.

A2
13. The emulsion according to [any of] claim[s] 1, [9 or 10,] further
comprising glycerol or fusogenic peptides.

A3
17. The emulsion according to [any of] claim[s] 1, [9, or 10,] further
comprising 1,2-dioleoyl-sn-3-phosphatidylethanolamine, diolein, fatty alcohol,
cholesterol or bile salt.

A4
19. The solid lipid nanoparticles according to claim 2 [or 18], further
comprising a phospholipid or a non-ionic surfactant.